APR 2 2 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Serial No:

Sloan et al

09/929,735

Filed:

August 13, 2001

For:

"COMMUNICATIONS INTERFACE FOR A FINANCIAL MODELING AND HECEIVE

Docket No: 60021-375702

Group Art Unit: 2165

COUNSELING SYSTEM"

JUN 0 7 2002

ORIGINALLY FILED

Initial Patent Examination Division Commissioner for Patents Washington, D.C. 20231

GROUP 3600

RESUBMISSION OF RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

A response to the Notice of Incomplete Reply (Nonprovisional) was mailed January 15, 2002. However, the mailed response may inadvertently have included sheets of informal drawings with illegible figure identification label (e.g. FIG. 14). The present resubmission is made in order to correct any such inadvertent mistake.

The resubmission of the response to the Notice of Incomplete Reply (Nonprovisional) includes the following attached documents:

- 1. 14 Sheets of informal drawings;
- 2. Copy of Notice of Incomplete Reply (Nonprovisional); and
- 3. Please date stamp and return to us the enclosed postcard as confirmation of receipt of these papers.

The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 02-3964.

Respectfully submitted,

Dated: April 12, 2002

RAMBOD NADER

Reg. No. 47,262

RECEIVED

MAY 0 6 2002

Technology Center 2100

OPPENHEIMER WOLFF & DONNELLY LLP

Customer No. 29838 1400 Page Mill Road Palo Alto, CA 94304 Telephone: 650-320-4000

Facsimile: 650-320-4100

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on April 28, 2002, with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents,

Washington, D.C., 20231.

Date: April 12, 2002

Vicki Naso

Page 1 of 1



UNITED STATES PATENTAND TRADEMARK OFFICE

APR 2 2 2007

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WARRUSPINGON

APPLICATION NUMBER

FILING TECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/929,735

08/13/2001

Ronald E. Sloan

60021.375702

25696 OPPENHEIMER WOLFF & DONNELLY P. O. BOX 10356 PALO ALTO, CA 94303 JUN 0 7 2002

CONFIRMATION NO. 1151
FORMALITIES LETTER
OCCO00000007308121*

GROUP 3600

Date Mailed: 01/15/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/04/2002 to the Notice to File Missing Parts (Notice) mailed 09/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a))

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment;

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/5 inch); and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent/Examination Division (703) 308-1202

PART 1 - ATTORNEY, APPLICANT COPY

OPPENHEIMER WOLFF & DONNELLY LLP
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